

# Investment Risk Disclosure Statement

RockAlpha Limited ("RockAlpha", or "we"), hereby kindly remind our clients, that all investments involve risks, which in the financial world can be defined as the uncertainty of returns and the likelihood of a sustained loss or reduction in the value of an investment. Therefore, investing in and trading financial products carries the risk of loss. Therefore, customers should carefully consider which type of investment and transaction is suitable for them according to their own circumstances, financial situation and goals. When deciding whether to participate in the investment and trading of financial products, customers should pay attention to the following matters:

1. The price, value and return from the investment may change. The price, value and yield involved in an investment may increase or decrease due to a variety of market, economic and investment-specific factors. Therefore, investors are likely to receive a lower return than their initial investment.
2. The liquidity of financial products may also fluctuate (including in the event of a drop in market trading volume), which prevents investors from buying or selling financial products at their desired or preferred prices. In some cases, clients may suffer losses due to the difficulty or inability to sell or liquidate their positions in financial products (for example, during times of sharp price changes in the short term).
3. If the investment in financial products is carried out in foreign currencies, the income or performance of such transactions depends to a large extent on the changes in the exchange rate between the investor's base currency and the foreign currency. Investors are not exposed to such risks if they invest only in their home currency.
4. RockAlpha does not provide investment, financial, tax or transaction advice. RockAlpha's services to clients are not intended to advise clients to invest in or trade financial products. Clients should consult their preferred financial advisor before making any investment and trading decisions and should understand the risks associated with their planned investments.

This statement does not disclose all risks and other important matters involved in using the services provided by RockAlpha and participating in financial product transactions and investments. Therefore, customers should study and research financial product transactions and investments, and seek advice related to their trading experience and investment goals before participating in transactions and investments.