

# Disclaimer

All customers who register and open an account with RockAlpha Limited ("RockAlpha", or "we") must promise that the information they provide is true and accurate, and their RockAlpha account shall not be authorized to be used by others. RockAlpha does not undertake any obligation to review the authenticity of the identity of the owner of the registered mobile phone number. RockAlpha does not assume any responsibility for the loss caused by the use of the stolen, fraudulently used or misused personal mobile phone number to register an account on our platform.

All customers who register and open an account on RockAlpha must abide by the relevant laws, regulations and the rules of the platform, and must not publish any politically incorrect remarks on the platform (community, communication group, etc.) Obscene and pornographic, false advertisements, bloody violence, insults and defamation, illegal and illegal information that leaks personal privacy, etc., and shall not engage in activities that infringe on third-party intellectual property rights and other legitimate rights and interests, otherwise all the resulting losses shall be borne by the customer, and RockAlpha will not assume any responsibility.

In any and all cases, RockAlpha is not liable for any punitive, indirect, incidental, special or consequential loss or damage arising from the use of the platform, including but not limited to indirect personal damage, commercial Loss of profit, interruption of transactions, loss of business information or any other related loss of benefit.

There is a risk of loss in investing in securities or financial products, and RockAlpha does not assume any responsibility for the loss of customers' investment activities.

Due to malicious attacks by Internet hackers, network server failures and other unpredictable factors, there may be errors or delays in market information and other securities-related information.

The information, market data, charts, graphs, news, posts, comments, opinions, financial data, shareholder analysis, valuation analysis and stock diagnostics (collectively, "Information") contained in RockAlpha's service are derived from what is believed to be accurate and timely source. Neither RockAlpha, its affiliates nor its content providers can:

- (1) make any representations or warranties as to the reliability, accuracy or completeness of the information;
- (2) warrant that any information contained in RockAlpha's service is uninterrupted or error-free; or
- (3) will assume no responsibility for the use or dissemination of any such information.

To the extent permitted by law, RockAlpha and its affiliates expressly disclaim any direct, indirect, special, incidental, consequential or punitive damages or damages arising out of or in connection with individuals or entities relying on the information contained in RockAlpha's service or damages, including but not limited to any errors or omissions in the information contained on RockAlpha's service, without any liability or legal liability to any person.

The customer's network terminal equipment and software system may be illegally attacked or infected by viruses, resulting in the inability to issue the commission or the commission failure.

If the customer's network terminal equipment and software system are not compatible with the online trading system provided by RockAlpha, resulting in the inability to place the order or the order fails, the customer can call RockAlpha's customer service number to report the situation and obtain technical support. However, RockAlpha cannot guarantee any financial compensation for failure of entrust caused by circumstances beyond RockAlpha's control.

Certain features of the RockAlpha's services will allow third parties to obtain customer information. If these functions are non-essential functions for conducting business, RockAlpha will provide relevant information of customers to third parties after obtaining authorization from customers. RockAlpha is not responsible for any risks and losses arising therefrom.

RockAlpha official website and products will publish and reprint news, information and other content provided by its partner companies, and the content provider will be indicated when publishing and reprinting. RockAlpha does not make any substantive review or modification of the content provided by its partner companies, and cannot guarantee the authenticity of the content. Customers need to judge the content by themselves, and RockAlpha does not assume any responsibility.

In addition, all the following risks or consequences arising from the use of RockAlpha's services shall be borne by the customer, and RockAlpha shall not be liable for any losses arising therefrom, including but not limited to:

- (1) Transaction orders may be interrupted, stalled, delayed or data errors due to Internet data transmission failures, interruptions, delays or other reasons;
- (2) Due to the customer's own behavior, such as negligence or misconduct, his account and password information, personal information or transaction information are leaked, or his identity is impersonated and any loss arising therefrom;
- (4) Customers use the services provided by RockAlpha to engage in any illegal or infringing activities on the rights and interests of others and cause losses to customers and third parties;
- (5) Due to network failures, the successful entrust instruction displayed on the client's network terminal device may not be received by the our Server, resulting in the risk of inability to execute or delay the execution of the instruction; if the client subsequently issues a new entrust instruction , which may cause our Server to receive two entrusted orders from the customer, causing the customer to have the risk of repeated transactions;
- (6) Emergencies caused by changes in laws and policies or other unpredictable and uncontrollable factors;
- (7) Due to force majeure such as wars, communication failures, natural disasters, strikes, acts of government departments, etc., the functions of the platform cannot be realized, resulting in economic losses to customers.